

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
ROC920010111US1SERIAL NO.
UnknownLIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

APPLICANT: James A. Hagan et al.

PAGE 1 OF 1

FILING DATE: Unknown

GROUP: Unknown

J0971 U.S. PTO
09/976167

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUB- CLASS | FILING DATE (IF APPRO.) |
|----------------------|----|--------------------|---|---|---|---|---|---|------------|----------------|-------|---------------|----------------------------|
| SA | AA | 6 | 2 | 3 | 6 | 5 | 4 | 2 | 05/22/2001 | Hartog et al. | 360 | 136 | |
| | AB | 4 | 6 | 4 | 5 | 5 | 6 | 1 | 02/24/1987 | Rea | 156 | 636 | |
| | AC | 5 | 3 | 4 | 0 | 3 | 7 | 0 | 08/23/1994 | Cadien et al. | 51 | 308 | |
| | AD | 5 | 5 | 2 | 7 | 4 | 2 | 3 | 06/18/1996 | Neville et al. | 156 | 636.1 | |
| | AE | 5 | 8 | 4 | 6 | 3 | 9 | 8 | 12/08/1998 | Caprio | 205 | 775 | |
| | AF | 5 | 8 | 7 | 6 | 4 | 9 | 0 | 03/02/1999 | Ronay | 106 | 3 | |
| SA | AG | 6 | 0 | 1 | 5 | 5 | 0 | 6 | 01/18/2000 | Streinz et al. | 252 | 186.1 | |
| | AH | | | | | | | | | | | | |
| | AI | | | | | | | | | | | | |
| | AJ | | | | | | | | | | | | |
| | AK | | | | | | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|--|----|--------------------|--|--|--|--|--|--|------|---------|-------|---------------|-------------|----|
| | | | | | | | | | | | | | YES | NO |
| | AL | | | | | | | | | | | | | |
| | AM | | | | | | | | | | | | | |
| | AN | | | | | | | | | | | | | |
| | AO | | | | | | | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|----|----|--|
| SA | AR | U.S. Patent Application Serial No. 09/590,667, "Lanthanide Oxide Dissolution from Glass Surface", Douglas Howard Piltingsrud, filed June 8, 2000. (Attorney Docket No. ROC9-1999-0186-US2) |
| SA | AS | Product Information Sheet, "SRS 596 Specially Processed Cerium Oxide in an Aqueous Slurry", Ferro Electronic Materials, Penn Yan, New York, dated 5/18/01 revision 1. |
| SA | AT | Product Information Sheet, "SRS 565 Colloidal Silica Based Polishing Slurry", Ferro Electronic Materials, Penn Yan, New York, dated 01/18/01 rev nil. |

EXAMINER

Shamim Ahmed

DATE CONSIDERED

3/18/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.